



2815

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/740,584	
	Filing Date	12-18-2000	
	First Named Inventor	Jeffrey M. Alden	
	Group Art Unit	2815	
	Examiner Name	Chris C. Chu	
Total Number of Pages in This Submission		Attorney Docket Number	GP-301022

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition ✓ <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): RETURN POSTCARD
RECEIVED JUN 27 2003 TECHNOLOGY CENTER 2800		
Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-1612. A duplicate copy of this sheet is enclosed.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John A. Miller, Reg. No. 34,985.
Signature	
Date	6-20-03

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>6/20/03</u>			
Typed or printed name	John A. Miller, Reg. 34985		
Signature		Date	6-20-03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/740,584
Filing Date: December 18, 2000
Applicant: Jeffrey Morgan Alden, et al.
Group Art Unit: 2815
Examiner: Chris C. Chu
Title: AUTOMATIC RECONFIGURATION OF SYSTEM
SUB-MODELS FOR INDEPENDENT ANALYSIS
Attorney Docket: GP-301022 (GMC-00048)

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RECEIVED
JUN 27 2003
TECHNOLOGY CENTER 2800

PETITION FOR REVIEW OF REQUIREMENT FOR RESTRICTION

Sir:

Pursuant to 37 C.F.R. 1.144, Applicant hereby petitions for review of the Requirement for Restriction to have all of the originally filed claims examined together. It is believed that no fee is required for this Petition. However, if a fee is required, the Commissioner is hereby authorized to charge the required fee to Deposit Account number 501612. A duplicate copy of this Petition is provided for this purpose.

The Examiner's Requirement for Restriction identified five patentably distinct species, including i) species I depicted in figure 2; ii) species II depicted in figure 3; iii) species III depicted in figure 5; iv) species IV depicted in figure 6;

and v) species V depicted in figure 10. The Examiner required Applicant to elect a single species for prosecution on the merits, and a listing of all claims readable on that species. Applicant elected species III shown in figure 5 with traverse, requested reconsideration, and submitted that all of the original claims 1-19 read on this species in a Response to Restriction Requirement mailed February 12, 2003.

The Examiner responded to Applicant's Response and request for reconsideration in her Office Action mailed May 8, 2003, stating that all of the independent claims 1, 8 and 13 do not read on species III and making the Requirement for Restriction final. The Examiner withdrew claims 2, 7-12, 14 and 19 from consideration, and examined the remaining originally filed claims.

Applicant's specification describes three separate inventions or embodiments. A first embodiment is discussed on pages 6-11 of the specification, with reference to figures 2-4. Figure 2 is an influence diagram including a plurality of entities that combine to form a model. Figure 4 is the influence diagram shown in figure 2 after a change to the diagram has been made based on a certain input. Figure 3 is a flow chart diagram showing the steps of visualizing complex system interactions in a model illustrated in an influence diagram. The flow chart includes steps for constructing the influence diagram shown in figure 2, and steps for changing the influence diagram shown in figure 2 to the influence diagram shown in figure 4 based on the input.

A second embodiment disclosed in the specification, and described on pages 11-13, is directed to analyzing a sub-model of a full system model. Figure 5 is a sub-model influence diagram of the full model influence diagram shown in

figure 2, and figure 6 is a flow chart diagram showing the steps of this embodiment for analyzing the sub-model shown in figure 5. Figure 7 is a sub-model influence diagram of the sub-model influence diagram shown in figure 5 after a change has been made as included in the steps of the flow chart diagram of figure 6.

Figures 8-11, described in the specification on pages 14-17, shows a third embodiment that creates and automatically maintains an equivalence between a spreadsheet and a functional visual representation of the spreadsheet, where the visual representation may be an influence diagram.

Independent claims 1 and 8 are directed to a method of analyzing a sub-model of a full system model, and independent claim 13 is a system for analyzing a sub-model separated from a full system model. All three of these independent claims are directed to the second embodiment discussed above for figures 5-7. Applicant has not claimed the invention of the first embodiment shown in figures 2-4 or the invention of the third embodiment shown in figures 8-11. Independent claims 1 and 8 include the same method steps, except that independent claim 8 includes the additional steps of "deleting connecting arcs directed to the temporary entities" and "identifying isolated cycles in the sub-model that are a series of entities that depend on themselves."

These additional steps in independent claim 8 are also included as dependent claims that depend from independent claim 1. All of the steps of method claims 1-12 are included in the flow chart diagram shown in figure 6 and described in the specification, which depicts steps that can be employed to change the sub-model shown in figure 5 to the sub-model shown in figure 7.

Applicant submits that figures 5 and 6 cannot be properly characterized as separate species, and independent claims 1, 8 and 13 can read on both figures 5 and 6.

The Examiner has suggested that the step in independent claim 8 of "deleting connecting arcs directed to the temporary data entities" belongs in another embodiment. However, the Examiner has not given any reason as to why this is the case. The step of deleting connecting arcs is sometimes required when the sub-model influence diagram is separated from the full model influence diagram because those entities in the sub-model that are converted to temporary data entities may have depended on other entities in the full model that are not in the sub-model influence diagram. This is one novel step in the overall invention of analyzing a sub-model of a full system model claimed by Applicant. This step is not part of a separate embodiment, but is a step in the same embodiment that may or may not be required. The connecting arcs directed to the temporary data entities are not shown in figures 5 and 7 because they have been deleted, as characterized by box 80 in figure 6.


The Examiner has also suggested that the step in dependent claims 7 and 19 of "adding all global variables to a sub-model" belongs in another embodiment. The Examiner has also failed to give a reason as to why this is the case. The step of adding all global variables to the sub-model is shown in the step of box 76 in figure 6. This step includes adding those values in the sub-model that are not specifically shown as inputs to the full system model, but are also used in the sub-model. This is another novel step in the overall invention of

analyzing a sub-model of a full system model that may or not be required in the process.

Applicant respectfully submits that they are not required to claim all of the steps in the process of the invention in any one claim, and the invention of independent claims 1, 8 and 13 is shown in the specification by the combination of figures 5-7.

In view of the foregoing, it is respectfully requested that the restriction requirement be withdrawn, and all the claims be examined together.

Respectfully submitted,

By: 
John A. Miller
Reg. No. 34985
Phone: (248) 364-4300

General Motors Corporation
300 Renaissance Center
P.O. Box 300
Detroit, MI 48265-3000
(313) 665-4708

Dated: 6/20/03